



# Effect of Sea-ice Drift on the Onset of Snowball Climate on Rapidly Rotating Aqua-planets

Wenshuo Yue and Jun Yang

Department of Atmospheric and Oceanic Sciences, School of Physics, Peking University, Beijing 100871, China; [junyang@pku.edu.cn](mailto:junyang@pku.edu.cn)*Received 2020 May 9; revised 2020 June 30; accepted 2020 July 2; published 2020 July 22*

## Abstract

Previous studies have shown that sea-ice drift effectively promotes the onset of a globally ice-covered snowball climate for paleo Earth and for tidally locked planets around low-mass stars. Here, we investigate whether sea-ice drift can influence the stellar flux threshold for a snowball climate onset on rapidly rotating aqua-planets around a Sun-like star. Using a fully coupled atmosphere–land–ocean–sea-ice model and turning sea-ice drift on or off, circular orbits with no eccentricity ( $e = 0$ ) and an eccentric orbit ( $e = 0.2$ ) are examined. When sea-ice drift is turned off, the stellar flux threshold for the snowball onset is 1250–1275 and 1173–1199  $\text{W m}^{-2}$  for  $e = 0$  and 0.2, respectively. The difference is mainly due to the poleward retreat of sea ice and snow edges when the planet is close to the perihelion in the eccentric orbit. When sea-ice drift is turned on, the respective stellar flux threshold is 1335–1350 and 1250–1276  $\text{W m}^{-2}$ . This means that sea-ice drift increases the snowball onset threshold by  $\approx 80 \text{ W m}^{-2}$  for both  $e = 0$  and 0.2, promoting the formation of a snowball climate state. We further show that oceanic dynamics have a small effect,  $\leq 26 \text{ W m}^{-2}$ , on the snowball onset threshold. This is because oceanic heat transport becomes weaker and weaker as the sea-ice edge is approaching the equator. These results imply that sea-ice dynamics are important for the climate of planets close to the outer edge of the habitable zone, but oceanic heat transport is less important.

*Unified Astronomy Thesaurus concepts:* [Extrasolar rocky planets \(511\)](#); [Habitable planets \(695\)](#); [Astrobiology \(74\)](#); [Hydrodynamics \(1963\)](#); [Computational methods \(1965\)](#)

## 1. Introduction

Sea ice is a fundamental component of the Earth climate system because it strongly influences the surface albedo and reduces the direct interactions between atmosphere and ocean (Curry et al. 1995; Gardner & Sharp 2010). Sea ice also affects ocean stratification and thermohaline circulation because the sea-ice formation process causes expulsion of salt and heat into the ocean and the sea-ice melting process releases freshwater into the ocean and absorbs heat from the ocean and the overlying atmosphere (Warren 1983; Holland et al. 2001). Driven by wind and ocean stresses, sea ice flows from the formation region to another region, which influences ice coverage, surface heat flux, and surface temperature, enhancing the role of sea ice in climate (Hibler 1979; Thorndike & Colony 1982; Kimura 2004; Leppäranta 2011; Park & Stewart 2016).

Because of the important role of sea ice, how it influences the climate and habitability of planets beyond the solar system is a hot topic. Previous simulations of terrestrial exoplanets focus on the albedo effect of sea ice and snow (e.g., Shields et al. 2014; Linsenmeier et al. 2015; Salameh et al. 2018). These studies suggest that ice-albedo feedback is effective in promoting the onset of a snowball state when stellar flux or greenhouse gas concentration is low. And, the strength of the ice-albedo feedback is smaller on planets orbiting around low-mass stars due to the wavelength-dependent albedos of ice and snow (Warren & Wiscombe 1980; Wiscombe & Warren 1980) and to the redder spectra of the host stars (Joshi & Haberle 2012; Shields et al. 2013). In these studies, the sea ice is immobile because sea-ice dynamics are not included in their models. However, studies of paleo-Earth 750–630 million years ago (Lewis et al. 2007; Voigt & Abbot 2012; Yang et al. 2012a; Liu et al. 2018) and tidally locked planets around low-

mass stars (Yang et al. 2020) have shown that sea-ice drift is also effective in promoting the onset of a snowball climate.

Voigt & Abbot (2012) showed that when sea-ice drift is considered, the  $\text{CO}_2$  concentration threshold for a snowball Earth formation is  $\approx 50$ –100 times higher (204–209 versus 2–4 ppmv), compared with the condition without sea-ice drift. The radiation forcing between these two levels of  $\text{CO}_2$  is  $\approx 20 \text{ W m}^{-2}$  (see Figure 1 in Byrne & Goldblatt 2014), which is equivalent to a stellar flux of  $\approx 114 \text{ W m}^{-2}$ , i.e.,  $4 \times 25 / (1 - \alpha_p)$ , where the factor of 4 is the ratio of the planet’s surface area to its cross-sectional area and  $\alpha_p$  is the planetary albedo (0.3 is used in this simple estimate). Yang et al. (2020) showed that for an aqua-planet with no continent, sea-ice drift increases the stellar flux threshold for the onset of a tidally locked snowball state from 500–550 to 800–850  $\text{W m}^{-2}$ , i.e., the effect of sea-ice drift is  $\approx 300 \text{ W m}^{-2}$  (see supplementary Figure 3 in their paper). The different magnitudes for the effect of sea-ice drift in these two studies are mainly due to different continents (real continents versus aqua-planet) and different orbital configurations (rapidly rotating versus 1:1 tidally locked), as a result the ice drift speed in the latter is much larger than that in the former.

In this study, we explore the effect of sea-ice drift on the stellar flux threshold for the onset of a snowball climate on rapidly rotating aqua-planets orbiting around a Sun-like star. Two orbits are examined, one with zero eccentricity ( $e$ ) and one with  $e = 0.2$ . The value of  $e$  influences the ratio of stellar flux at periastron to that at apoastron  $((1 + e)^2 / (1 - e)^2)$  and the annual-mean stellar flux by  $1 / \sqrt{1 - e^2}$ , which are, respectively, 2.25 and 1.0206 under  $e = 0.2$ . Exoplanet measurements showed a wide range of eccentricities from 0 to 0.97 for gas giant planets, but lower-mass planets in the habitable zone

have smaller eccentricities especially when the system has multiple planets (Limbach & Turner 2015; Bolmont et al. 2016; Xie et al. 2016), so we choose a moderate  $e$  of 0.2.

Both energy balance models and general circulation models have been employed to examine the role of eccentricity in climate (Williams & Pollard 2002; Dressing et al. 2010; Spiegel et al. 2010; Armstrong et al. 2014; Wang et al. 2014, 2017; Linsenmeier et al. 2015; Bolmont et al. 2016; Shields et al. 2016; Way & Georgakarakos 2017). These studies found that a high eccentricity can cause large seasonal variability when the surface is covered by ice or land, but the high thermal inertia of the ocean is effective in reducing the magnitude of the seasonal cycle (e.g., Linsenmeier et al. 2015). Increasing the eccentricity widens the parameter space in which the planetary surface is able to maintain liquid water, at least during a part of one year for planets orbiting around the outer edge of the habitable zone (e.g., Dressing et al. 2010). None of their employed models included the effect of oceanic or sea-ice dynamics except for that of Way & Georgakarakos (2017), in which ocean flows and sea-ice drifts are included; however, they have not analyzed their effects.

The structure of this paper is as follows. In Section 2 we introduce the model CCSM3 and explain the experimental design. In Section 3 we show the results: the effects of sea-ice drift, varying the eccentricity, and oceanic dynamics are addressed, respectively. Finally, we draw a summary in Section 4.

## 2. Methods

We use a fully coupled atmosphere–ocean model, the Community Climate System Model version 3 (CCSM3). The model includes four components (atmosphere, ocean, sea ice, and land) and a coupler. The atmosphere component, the Community Atmosphere Model version 3 (CAM3), is a global atmospheric general circulation model (Collins et al. 2004). The ocean component uses the Parallel Ocean Program (POP) version 1.4.3, which is an oceanic general circulation model using the primitive equations and Boussineq approximation (Smith & Gent 2004). The sea-ice component employs the Community Sea Ice Model version 5 (CSIM5; Briegleb et al. 2004). It includes a thermodynamics module to describe thermodynamic processes and an elastic–viscous–plastic representation for sea-ice dynamics (Hunke & Dukowicz 1997, 2002; Bitz & Lipscomb 1999). The sea-ice dynamics predict ice flows based on winds, ocean currents, and a model of the material strength of the ice within which an elastic–viscous–plastic method is used to solve the nonlinear viscous–plastic ice rheology (for details, please see Hunke & Dukowicz 1997). The resolution of atmosphere and land components is T31, which has 96 grids in longitude and 48 grids in latitude with 26 vertical levels from the surface to about 3 hPa, and the resolution of ocean and sea-ice components is gx3v5, which has 116 grids in longitude and 100 grids in latitude. The sea ice has five levels and the ocean has 25 levels from surface to  $\approx 5000$  m. Only the top 16 levels were used here because the surface is set to be an aqua-ocean with a uniform depth of 1 km and with no continent. The sea-ice drift equation is:

$$\rho \frac{\partial \mathbf{u}}{\partial t} = \tau_a + \tau_o - \rho f \mathbf{k} \times \mathbf{u} + \rho g \nabla H_o + \nabla \cdot \sigma_{ij}, \quad (1)$$

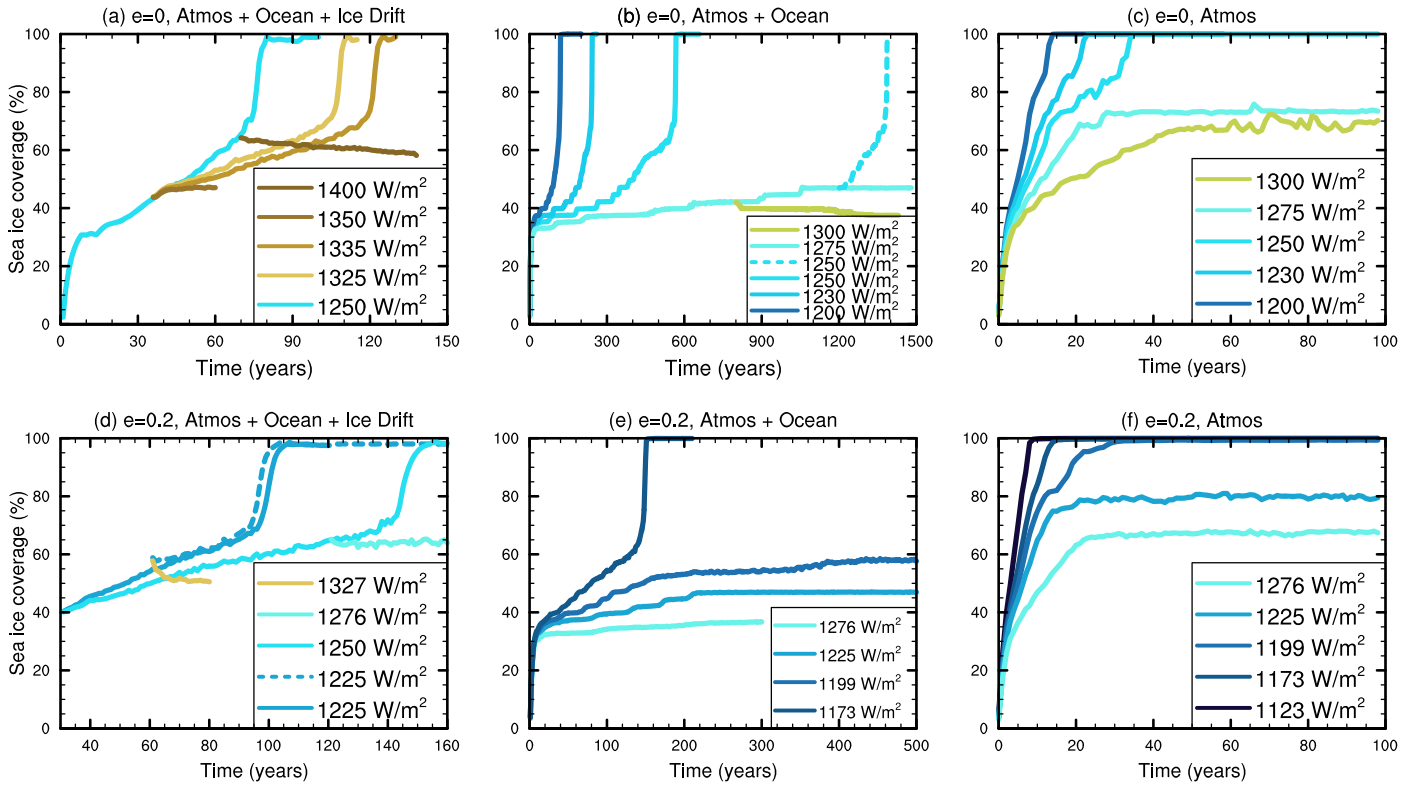
where  $\rho$  is the mean density of sea ice and snow over ice,  $\mathbf{u}$  represents sea-ice velocity, and  $f$  is the Coriolis parameter,  $g$  is

gravity,  $H_o$  is sea surface height, and  $\sigma_{ij}$  is internal stress tensor. The terms on the right side are the air/ice stress, ocean/ice stress, Coriolis force, surface pressure gradient force, and internal ice stress, respectively.

In order to explore the effect of sea-ice drift, two types of experiments were run, turning on and turning off sea-ice drift, labeled as fully coupled atmosphere–ocean–sea ice and coupled atmosphere–ocean, respectively. To isolate the role of oceanic circulation, we further performed corresponding experiments using the atmosphere component only (CAM3). CAM3 is coupled with a 50 m immobile ocean (with no oceanic heat transport) and a thermodynamic sea-ice module, and sea-ice drift is not considered in the model. Comparisons between the atmosphere-only experiments and the coupled atmosphere–ocean experiments can exhibit the effect of oceanic dynamics. Likewise, comparisons between the coupled atmosphere–ocean experiments and the fully coupled atmosphere–ocean–sea-ice experiments can address the effect of sea-ice dynamics.

Experimental designs are similar to those in Yang et al. (2019, 2020), but for a rapid rotation orbit rather than a tidally locked orbit. Planetary radius and gravity are the same as those of Earth; rotation period is one Earth day and orbital period is 365 Earth days; planetary obliquity ( $\beta$ ) is set to be zero; and the solar spectrum is used. Two eccentricities are examined, 0.0 and 0.2. A larger eccentricity of 0.4 was also tested, but the model blew up due to having a seasonal cycle that was too strong. The surface pressure is 1.0 bar, dominated by  $\text{N}_2$ . Concentrations of  $\text{CO}_2$ ,  $\text{CH}_4$ , and  $\text{N}_2\text{O}$  are set to be 300, 0.8, and 0.27 parts per million by volume (ppmv), respectively. For the visible band ( $< 0.7 \mu\text{m}$ ), the snow albedo is 0.91 and the ice albedo is 0.68 if surface temperature is below  $-1^\circ\text{C}$ . For the near-infrared band ( $> 0.7 \mu\text{m}$ ), it is 0.63 for snow and 0.30 for sea ice (Collins et al. 2004). Between  $-1^\circ\text{C}$  and  $0^\circ\text{C}$ , the surface albedo decreases linearly with temperature (Yang et al. 2012a). The albedo of open ocean is varied from 0.05 to 0.1 for different solar zenith angles and is uniform for all wavelengths. Note that the snowball onset threshold is sensitive to the ice and snow albedos (Pierrehumbert et al. 2011; Yang et al. 2012a, 2012b; Liu et al. 2017); though, this is beyond the scope of this study. Due to the long integration required for the equilibrium of the coupled ocean and to the limit of computation sources, we were not able to test a wide range of parameters such as planetary obliquity, continental configuration, or  $\text{CO}_2$  concentration.

A series of stellar flux was run from  $\approx 1100$  to  $1400 \text{ W m}^{-2}$  with a minimum interval of 15 or  $25 \text{ W m}^{-2}$ . In this paper, the stellar flux at the semimajor axis and the time-averaged stellar flux over one orbit are labeled as  $S_0$  and  $S_a$ , respectively. Following Voigt & Abbot (2012) and Yang et al. (2020), the threshold for the onset of a snowball climate is defined as the maximum stellar flux at which the planet enters a snowball state when the simulation was started from an ice-free state or a partially ice-covered state under which the ice edge is away from the critical latitude ( $\approx 20^\circ\text{S(N)}$ ) for runaway glaciation. Each experiment was started from a rest state (ocean velocity being zero everywhere, sea surface temperature of 280.15 K everywhere, and the ocean temperature decreasing with depth from 280.15 K at the sea surface to 275.15 K at the bottom) with no sea ice or with a small area of high-latitude ice. In order to make sure the initial state will not influence the snowball onset threshold, we rerun some critical cases: those close to runaway glaciation under different initial conditions (see



**Figure 1.** Evolution of annual- and global-mean sea-ice coverage (%) as varying the stellar radiation in the three groups of experiments. (a)  $e = 0$  in the fully coupled atmosphere–ocean–sea-ice experiments, (b)  $e = 0$  in the coupled atmosphere–ocean experiments without sea-ice drift, and (c)  $e = 0$  in the atmosphere-only experiments with neither oceanic dynamics nor sea-ice drift. (d)–(f) Same as (a)–(c) but for an eccentricity of 0.2. The figure legends are for annual-mean stellar fluxes for both  $e = 0$  and  $e = 0.2$ . In the six panels, the stellar flux thresholds for the onset of a snowball climate are 1335–1350, 1250–1275, 1250–1275, 1250–1276, 1173–1199, and 1199–1225  $\text{W m}^{-2}$ , respectively. The  $\text{CO}_2$  concentration is 300 ppmv and the rotation period is one earth day. All the experiments were started from an ice-free state or a partial ice-covered state. Note that the cases of 1350 and 1400  $\text{W m}^{-2}$  in panel (a) and the case of 1327  $\text{W m}^{-2}$  in panel (d) had not reached exact equilibrium; unfortunately, the model blew up due to a numerical problem in convection. In panel (d), the cases of 1225 (solid line) and 1250  $\text{W m}^{-2}$  were restarted from the case of  $e = 0$  and 1250  $\text{W m}^{-2}$  in panel (a).

**Table 1**  
The Stellar Flux Threshold for the Onset of a Snowball Climate on Earth or on a Rapidly Rotating Aqua-planet

Model	Continent	$e$	$\beta$	Ocean Dyn.	Ice Drift	Threshold ( $\text{W m}^{-2}$ )	Investigator
CCSM3	modern	0.0167	$23.44^\circ$	yes	yes	1224–1230	Yang et al. (2012a)
CAM4	Aqua	0	$23^\circ$	no	no	1251–1265	Shields et al. (2014)
PlaSim	Aqua	0	$0^\circ$	no	no	1229–1297	Linsenmeier et al. (2015)
		0.5	$0^\circ$	no	no	1103–1182	
ECHAM6	Aqua	0	$0^\circ$	no	no	1225–1266	Salameh et al. (2018)
CCSM3	Aqua	0	$0^\circ$	yes	yes	1335–1350	This study
		0	$0^\circ$	yes	no	1250–1275	
		0	$0^\circ$	no	no	1250–1275	
		0.2	$0^\circ$	yes	yes	1250–1276	
		0.2	$0^\circ$	yes	no	1173–1199	
0.2	$0^\circ$	no	no	1199–1225			

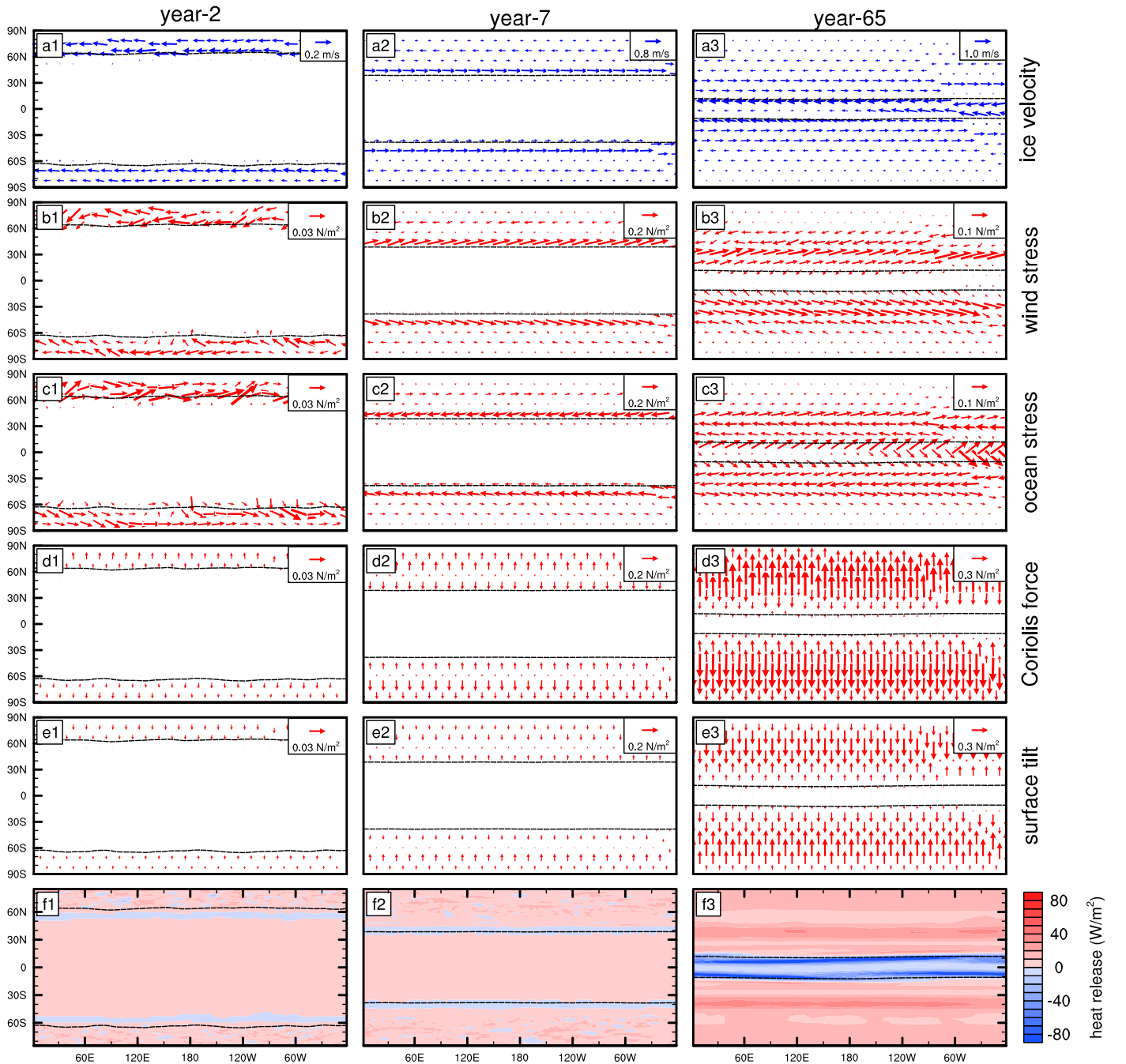
**Note.**  $e$  is the orbital eccentricity and  $\beta$  is the planetary obliquity. All these studies employed the solar spectrum and concentrations of greenhouse gases similar to or somewhat higher than the pre-industrial level; the orbital period is 365 Earth days; and the rotation period is one Earth day. The snowball onset threshold is calculated based on annual-mean stellar flux for both circular and eccentric orbits.

Figure 1 below). Moreover, due to the strong cooling at the beginning of the simulations, the atmosphere component of the model frequently becomes numerically unstable in the convection or precipitation module, so we have to attempt different initial states and different time steps (900, 600, or 300 s in the atmosphere component) in the simulations.

### 3. Results

#### 3.1. Effect of Sea-ice Drift

Sea-ice drift acts to increase the stellar flux threshold for a snowball climate onset, promoting the snowball formation. As shown in Figures 1(a)–(b) and summarized in Table 1, the



**Figure 2.** Three different phases in the experiment of  $e = 0$  under a stellar flux of  $1250 \text{ W m}^{-2}$ , started from an ice-free state. From left to right, the sea-ice edges (marked using dashed lines) are at  $60^\circ\text{S(N)}$ ,  $40^\circ\text{S(N)}$ , and  $10^\circ\text{S(N)}$ , corresponding to model years of 2, 7, and 65, respectively. (a1)–(a3) Sea-ice velocity, (b1)–(b3) wind stress, (c1)–(c3) ocean stress, (d1)–(d3) Coriolis force, (e1)–(e3) sea surface tilt (i.e., the force due to the slope in sea surface elevation deviation away from the geoid), and (f1)–(f3) heat flux due to ice growth (positive) and ice melting (negative). The ice velocity and heat uptake become greater and greater as the ice edge is approaching the equator. Note the different reference vector lengths among panels. The dashed lines in each panel are the sea ice edge with an ice fraction of 50%.

threshold is  $1335\text{--}1350$  and  $1250\text{--}1275 \text{ W m}^{-2}$  in the fully coupled atmosphere–ocean–sea-ice experiments (with sea-ice drift) and the coupled atmosphere–ocean experiments (without sea-ice drift), respectively. This means that sea-ice drift raises the stellar flux threshold by  $75\text{--}85 \text{ W m}^{-2}$ , i.e.,  $18.75\text{--}21.25 \text{ W m}^{-2}$  in global mean.

The evolution of atmosphere, ocean, and sea ice in the atmosphere–ocean–sea-ice experiment of  $1250 \text{ W m}^{-2}$  in a circular orbit is shown in Figure 2. Due to the rapid rotation (same as that of Earth), the surface winds on each hemisphere are divided into three prevailing wind belts: polar easterlies

from  $60^\circ$  to  $90^\circ$  latitude, prevailing westerlies between  $30^\circ$  and  $60^\circ$  latitude, and tropical easterlies within  $30^\circ$  latitude (i.e., trade winds). In these three regions, the Coriolis effect makes the wind curve to the west, to the east, and to the west, causing the winds to blow from the northeast toward the southwest, from southeast toward northeast, and from northeast toward the southwest, respectively (see chapter 1 in Wallace & Hobbs 2006). During the early phase for which the sea-ice edge is in the polar easterlies region (left panels), the equatorward movement of sea ice is mainly driven by surface winds while the ocean stresses and Coriolis forces have the



opposite effect. Note that due to the absence of continents in the experiments, the surface winds are mainly in the zonal direction with a relatively smaller component in the meridional direction. The effect of sea surface tilts on sea ice (i.e., the force due to the slope in sea surface elevation deviation away from the geoid) is also equatorward, but its magnitude is much smaller than that of the surface wind stresses. When the sea-ice edge has already entered the middle-latitude westerlies region between  $30^\circ$  and  $60^\circ\text{S(N)}$  (middle panels), the equatorward expansion of the sea ice near the ice edge is mainly driven by the combination of the wind stresses and Coriolis forces while the sea surface tilts exhibit the opposite effect. The ocean stresses also have an effect in expanding the ice pack to equatorward but with a smaller magnitude than that of the Coriolis forces. When the sea-ice edge enters the tropical trade winds region (right panels), the equatorward drift of the ice mainly results from the surface winds. The ocean stresses act to resist the equatorward flow of sea ice, same as that shown in Figure 13 of Voigt & Abbot (2012). Around the ice edge, the effects of Coriolis forces and sea surface tilts are small, except in the center regions of the ice area their strengths are comparable to those of wind and ocean stresses. During all three phases, the magnitude of the internal ice stresses (not shown) is relatively small especially around the ice edge.

Importantly, the speed of the sea-ice drift increases as the ice edge moves from the pole to the tropics, especially when the ice edge has already entered the region of tropical trade winds (black lines in Figures 2(a1)–(a3)), promoting the onset of a snowball state. In the three phases, the meridional speed of the sea ice near the ice edges are  $\approx 0.2$ ,  $0.5$ , and  $1.0 \text{ m s}^{-1}$ , respectively. Again, this means that the effect of sea-ice dynamics enhances when the ice edge is closer to the equator.

Another important process during the flow of sea ice is that a part of the ice melts when it meets with the relatively warm open-ocean water. This melting process absorbs energy from the ocean and the overlying atmosphere, cooling the surface and subsequently further promoting the equatorward expansion of the ice. When the ice edge reaches the deep tropics, the heat uptake is as large as  $\mathcal{O}(80) \text{ W m}^{-2}$  near the ice edge (Figure 2(f3)). This mechanism is similar to that shown in Yang et al. (2020) but for tidally locked planets.

Note that in the fully coupled atmosphere–ocean–sea-ice experiment of  $e = 0$ , the snowball onset threshold is  $1335\text{--}1350 \text{ W m}^{-2}$ . It is about  $100 \text{ W m}^{-2}$  higher than that in the study of Yang et al. (2012a), which employed the same model. The difference is likely due to the fact that Yang et al. (2012a) used a realistic continental configuration of modern Earth whereas an aqua-planet is used here. First, continents act to block or slow down the ice flows, reducing the effect of sea-ice dynamics (Yang et al. 2014, 2020). Second, continents influence the hydrology cycle such that some central regions of large continents are snow-free even when the sea-ice edge reaches the tropics, which constitutes a negative feedback due to the reduction of land surface albedo and thereby stabilizes the climate (Liu et al. 2018; Paradise et al. 2019). Moreover, the planetary obliquity is  $23.44^\circ$  and  $0^\circ$  in the previous study and this study, respectively; planets having greater obliquities can have ice-free areas at lower stellar fluxes (e.g., Linsenmeier et al. 2015). The separate contributions of continent and obliquity are still unknown.

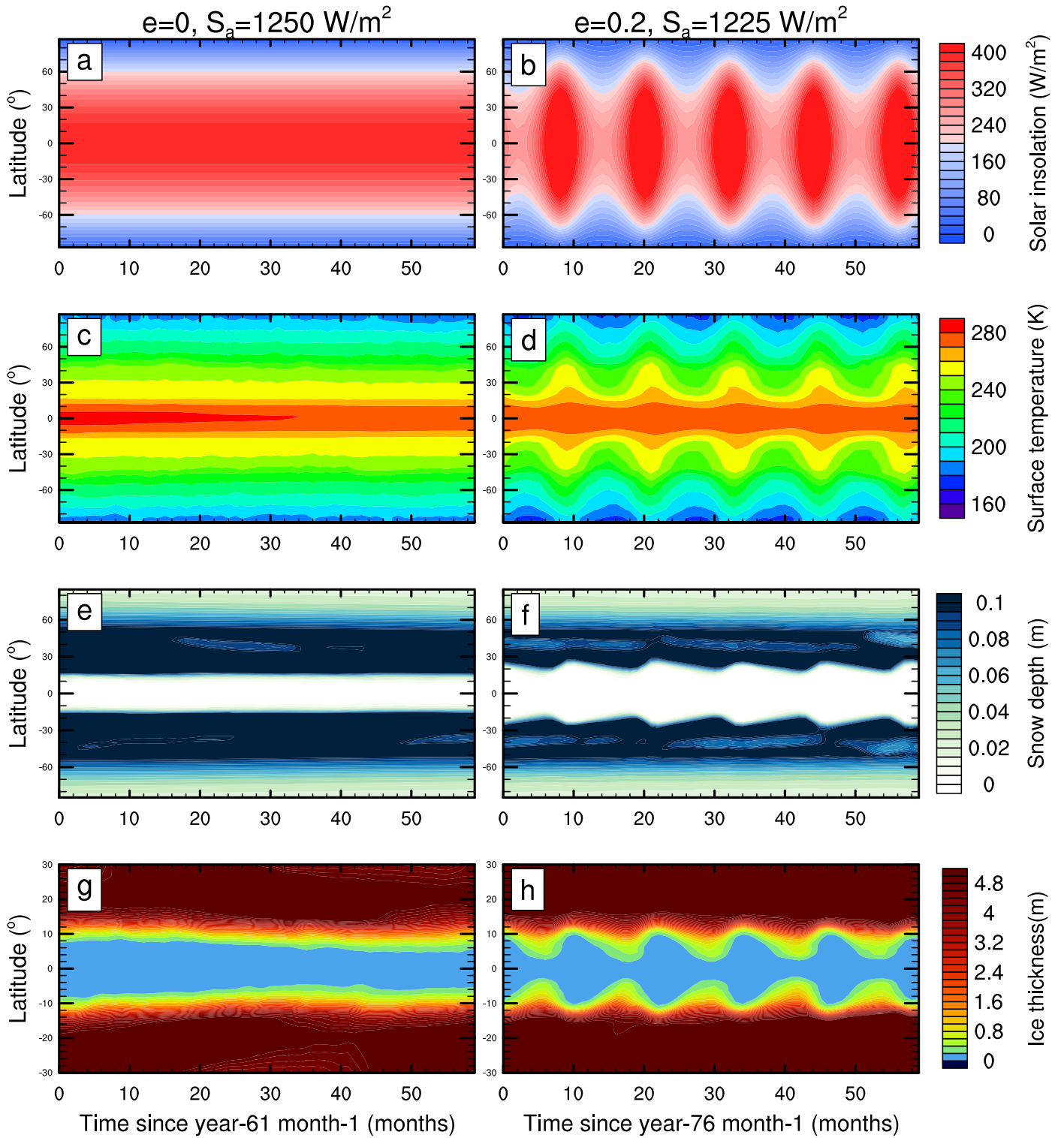
### 3.2. Effect of Increasing the Eccentricity

The stellar flux threshold for the onset of a snowball climate decreases as the eccentricity increases (Figure 1). As listed in Table 1, when the eccentricity increases from 0.0 to 0.2, the annual-mean stellar flux thresholds for snowball onset decrease by 74–85, 76–77, and 50–51  $\text{W m}^{-2}$  in the fully coupled atmosphere–ocean–sea ice, the coupled atmosphere–ocean, and the atmosphere-only experiments, respectively. The underlying mechanisms are shown in Figure 3. The higher stellar radiation around periastron on the orbit of  $e = 0.2$  trends melt the surface ice and snow on ice, lowering the surface albedo and pushing the ice edge poleward. During the longer and colder winter near the orbit’s apoastron, the surface temperatures decrease and the ice edge moves equatorward but the magnitudes are not large because of the high thermal capacity of the ocean, similar to that addressed in Dressing et al. (2010). Both ice thickness and snow depth near the ice edge in the case of  $e = 0.2$  are lower than those in the case of  $e = 0$  (Figures 3(e)–(h)), despite the  $e = 0.2$  case having a relatively lower annual-mean stellar flux, 1225 versus  $1250 \text{ W m}^{-2}$ . As a result, the annual-mean surface albedo is lower and more stellar flux is absorbed at the surface in the eccentric orbit, delaying the onset of runaway glaciation and the formation of a snowball climate.

### 3.3. Effect of Oceanic Dynamics

Comparing the results of the coupled atmosphere–ocean experiments with those of the atmosphere-only experiments, Figure 1(b) versus 1(c) and Figure 1(e) versus 1(f), one can find the effect of oceanic dynamics. Under  $e = 0$ , the stellar flux threshold is  $1250\text{--}1275 \text{ W m}^{-2}$  for both types of experiments. Under  $e = 0.2$ , the stellar flux threshold is  $1173\text{--}1199 \text{ W m}^{-2}$  in the coupled atmosphere–ocean experiment and  $1199\text{--}1225 \text{ W m}^{-2}$  in the atmosphere-only experiment. These results suggest that oceanic heat transport has a small ( $0\text{--}26 \text{ W m}^{-2}$ ) effect on the snowball onset threshold. In the previous studies of Voigt & Abbot (2012) and Yang et al. (2020), they also found a small effect of oceanic dynamics on the threshold. Voigt & Abbot (2012) showed that oceanic dynamics decrease the threshold from 4 to 2 ppmv in the concentration of  $\text{CO}_2$  (see their Figure 12). Yang et al. (2020) showed that in both types of experiments the threshold is  $500\text{--}550 \text{ W m}^{-2}$  for tidally locked aqua-planets (see their Figure S3). This is contrary to intuition as oceanic dynamics are able to transport heat from the tropics to the sea-ice edge and melt the ice.

The reason is that the magnitude of oceanic heat transport becomes smaller and smaller as the sea-ice edge is approaching the equator. Figure 4 shows the sea-ice coverage, oceanic temperature, meridional ocean velocity, and meridional oceanic heat transport in two transient phases in the coupled atmosphere–ocean experiment of  $e = 0$  and  $S_a = 1250 \text{ W m}^{-2}$ ; one phase is when the sea-ice edge is around  $40^\circ\text{S(N)}$  and the other one is when the sea-ice edge is around  $10^\circ\text{S(N)}$ . It is clear that the ocean becomes cooler (Figures 4(c)–(d)) and the meridional ocean velocity becomes weaker (Figures 4(e)–(f)) when the sea ice is closer to the equator. Moreover, the meridional temperature gradients in the ocean decrease greatly when more surface is covered by ice and snow (Figure 4(d)). As a result, the oceanic heat transport decreases greatly (Figure 4(b)). In these two phases, the

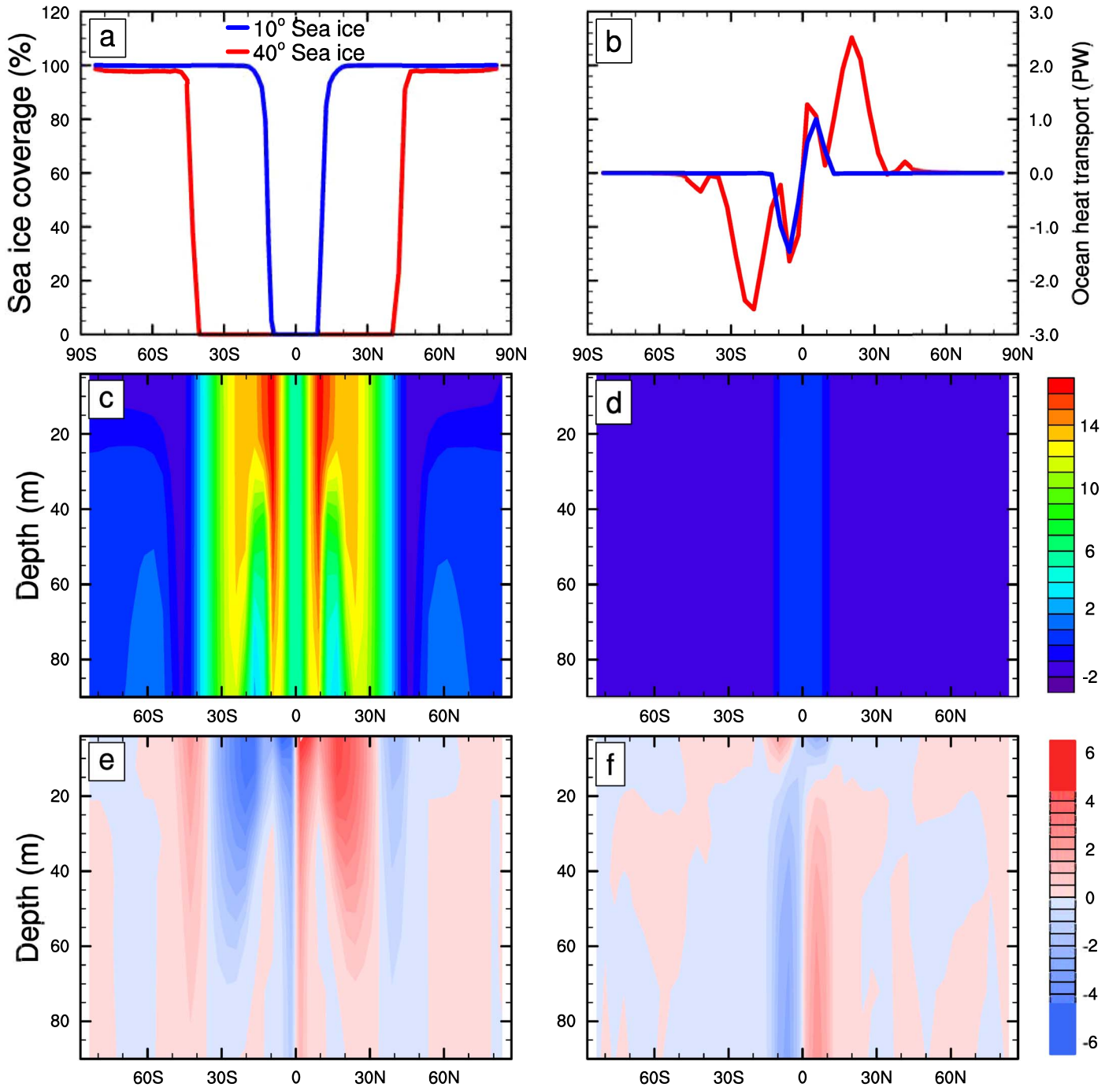


**Figure 3.** Comparisons in the evolution of stellar radiation (a)–(b), surface air temperature (c)–(d), snow depth (e)–(f), and sea-ice thickness (g)–(h) between  $e = 0$  and  $e = 0.2$ . Left panels: for the fully coupled atmosphere–ocean–sea-ice experiment of  $e = 0$  under a stellar flux of  $1250 \text{ W m}^{-2}$ . Right panels: for the fully coupled atmosphere–ocean–sea-ice experiment of  $e = 0.2$  under an annual-mean stellar flux of  $1225 \text{ W m}^{-2}$ .

oceanic heat transport of the latter is only 15% of the former. Therefore, oceanic dynamics have a very small effect on the stellar flux threshold for the onset of a snowball state.

The relatively strong effect of oceanic dynamics when the ice edge is far away from the equator can also be found in the equilibrium states of experiments with relatively high stellar fluxes. As shown in Figures 1(b)–(c), under the same

eccentricity and the same stellar flux ( $e = 0$  and  $S_a = 1275 \text{ W m}^{-2}$ ), the global-mean sea-ice coverage is  $\approx 47\%$  and  $\approx 64\%$  in the coupled atmosphere–ocean and atmosphere-only experiments, respectively. Another example is that in the eccentric orbit of  $e = 0.2$  and  $S_a = 1225 \text{ W m}^{-2}$ , the respective global-mean sea-ice coverage is  $\approx 46\%$  and  $\approx 80\%$  (Figures 1(e)–(f)).



**Figure 4.** Effects of oceanic dynamics on the climate. (a) Sea-ice coverage in a climate when the ice edge is around  $40^{\circ}\text{S}$ (N) (red line) and in a relatively cool climate when the ice edge is around  $10^{\circ}\text{S}$ (N); (b) the corresponding meridional oceanic heat transports (PW,  $1 \text{ PW} = 10^{15} \text{ W}$ ); (c) ocean potential temperature in the relatively warm climate; (d) same as (c) but in the relatively cool climate; (e) meridional oceanic velocity in the relatively warm climate; and (f) same as (e) but in the relatively cool climate. These data are from the coupled atmosphere–ocean experiment of  $e = 0$  under a stellar flux of  $1250 \text{ W m}^{-2}$ . The ocean is 1000 m, but only the top 100 m is shown in panels (c)–(f); below the level of 100 m, ocean properties are much more uniform and ocean currents are much weaker. In panel (c), equatorial temperatures are lower than those in higher latitudes; this is due to the effect of equatorial ocean upwelling driven by trade winds. The oceanic heat transport becomes weaker as the ice edge is closer to the equator because ocean currents weaken (especially near the sea surface) and oceanic temperature gradients become smaller.

Note that in our atmosphere-only aqua-planet experiments with  $e = 0$  and  $\beta = 0^{\circ}$ , the planet enters a snowball state when the stellar flux is  $\approx 1250\text{--}1275 \text{ W m}^{-2}$ . This value is similar to those in previous studies, which also used atmosphere-only models and under the same eccentricity, such as  $1251\text{--}1265 \text{ W m}^{-2}$  obtained in the model CAM4 but with a value of  $\beta = 23^{\circ}$  (Shields et al. 2014),  $1229\text{--}1297 \text{ W m}^{-2}$  obtained in the model PlaSim with  $\beta = 0^{\circ}$  (Linsenmeier et al.

2015), and  $1225\text{--}1266 \text{ W m}^{-2}$  in the model ECHAM6 with  $\beta = 0^{\circ}$  (Salameh et al. 2018). Differences in the threshold can be due to different parameters used in the models, such as ice and snow albedos and convection and cloud parameterizations.

#### 4. Summary

The global climate model CCSM3 is employed to investigate the onset of a globally ice-covered snowball state on rapidly



rotating planets around Sun-like stars. Three types of experiments were performed, atmosphere-only, coupled atmosphere–ocean, and fully coupled atmosphere–ocean–sea ice. Three main conclusions are obtained as follows:

- (1) Sea-ice drift promotes the onset of a snowball climate state. It increases the stellar flux threshold for a snowball onset by  $\approx 80 \text{ W m}^{-2}$  for rapidly rotating aqua-planets under both  $e = 0$  and 0.2. The underlying mechanisms are (i) sea ice flows from growth region to open-ocean region, increasing ice coverage and surface albedo; and (ii) a part of the ice melts when it flows to the relatively warm tropical ocean, and during this process a significant amount of heat is absorbed from the ocean and the overlying atmosphere and subsequently the surface temperature decreases, further promoting the expansion of sea ice.
- (2) The climate in an eccentric orbit is warmer than its circular-orbit equivalent, as a result, the snowball onset threshold under  $e = 0.2$  is  $\approx 50\text{--}85 \text{ W m}^{-2}$  (in annual-mean stellar flux) lower than that under  $e = 0$ . The key mechanism is that surface ice and snow melt when the planet orbits close to the orbit's periastron, reducing the surface albedo. This conclusion is consistent with the previous studies of Dressing et al. (2010) and Linsenmeier et al. (2015).
- (3) In general, oceanic heat transport has a warming effect on the climate. But, it has a small effect ( $\leq 26 \text{ W m}^{-2}$ ) on the snowball onset threshold because ocean temperature gradients and oceanic currents become weaker and weaker when the sea-ice edge is approaching the equator. However, when the ice edge has already entered the deep tropics, ocean stress on the sea ice is effective in slowing down the equatorward spread of the sea ice, resisting the accelerating effect of wind stress, as first pointed out in Voigt & Abbot (2012).

Our results imply that sea-ice drift can affect the location of the outer edge of the habitable zone. In order to quantify the degree of this influence, future simulations using climate models including massive  $\text{CO}_2$  and  $\text{CO}_2$  condensation are required; the model we employed here does not have this capability yet. The flows of ocean and sea ice are mainly driven by surface winds, the strength of which depends on the surface temperature gradient as well as surface pressure. The surface pressure is 1.0 bar in this study and future works with different surface pressures are required. The strength of surface wind stress depends on surface wind speed and air density. For a more-massive atmosphere, the surface wind speed decreases due to more effective heat advections and thereby the surface temperature gradients reduce; however, the surface air density increases. Recent coupled atmosphere–ocean simulations of Olson et al. (2020) showed that the net result is that the wind stress increases with air mass (Figure 6(d) in their paper) although the increasing rate is much smaller than that expected from the increase of surface air density. For example, when the surface pressure is increased from 1 to 10 bar, the surface wind stress nearly doubles. However, their experiments are for varying  $\text{N}_2$  partial pressure rather than  $\text{CO}_2$  partial pressure; future work is required for varying  $\text{CO}_2$ . No continent is involved in our experiments. If continents were included, the effects of both oceanic heat transport and sea-ice drift would likely be weaker due to their friction and barrier effects.

We are grateful to Yaoxuan Zeng and Yonggang Liu for technical help. J.Y. acknowledges support from the National Natural Science Foundation of China (NSFC) grants 41861124002 and 41675071.

## ORCID iDs

Wenshuo Yue  <https://orcid.org/0000-0002-4339-0489>

Jun Yang  <https://orcid.org/0000-0001-6031-2485>

## References

- Armstrong, J. C., Barnes, R., Domagalgoldman, S., et al. 2014, *AsBio*, **14**, 277
- Bitz, C. M., & Lipscomb, W. H. 1999, *JGR*, **104**, 15669
- Bolmont, E., Libert, A., Leconte, J., & Selsis, F. 2016, *A&A*, **591**, A106
- Briegleb, P., Bitz, M., Hunke, C., et al. 2004, *Scientific Description of the Sea Ice Component in the Community Climate System Model, Version 3*, NCAR Technical Note NCAR/TN-463+STR,
- Byrne, B., & Goldblatt, C. 2014, *CliPa*, **10**, 1779
- Collins, W., Rasch, J., Boville, A., et al. 2004, *Description of the NCAR Community Atmosphere Model (CAM 3.0)*, NCAR Technical Note NCAR/TN-464+STR,
- Curry, J. A., Schramm, J. L., & Ebert, E. E. 1995, *JCLI*, **8**, 240
- Dressing, C. D., Spiegel, D. S., Scharf, C., Menou, K., & Raymond, S. N. 2010, *ApJ*, **721**, 1295
- Gardner, A. S., & Sharp, M. 2010, *JGRF*, **115**, F01009
- Hibler, W. D. 1979, *JPO*, **9**, 815
- Holland, M. M., Bitz, C. M., Eby, M., & Weaver, A. J. 2001, *JCLI*, **14**, 656
- Hunke, E. C., & Dukowicz, J. K. 1997, *JPO*, **27**, 1849
- Hunke, E. C., & Dukowicz, J. K. 2002, *MWRv*, **130**, 1848
- Joshi, M., & Haberle, R. M. 2012, *AsBio*, **12**, 3
- Kimura, N. 2004, *J. Meteorol. Soc. Jpn.*, **82**, 1223
- Leppäranta, M. 2011, *The Drift of Sea Ice* (2nd ed.; Berlin: Springer)
- Lewis, J. P., Weaver, A. J., & Eby, M. 2007, *JGRG*, **112**, C11014
- Limbach, M. A., & Turner, E. L. 2015, *PNAS*, **112**, 20
- Linsenmeier, M., Pascale, S., & Lucarini, V. 2015, *P&SS*, **105**, 43
- Liu, Y., Peltier, W. R., Yang, J., & Hu, Y. 2018, *JCLI*, **31**, 8463
- Liu, Y., Peltier, W. R., Yang, J., Vettoretti, G., & Wang, Y. 2017, *CIDy*, **48**, 3459
- Olson, S. L., Jansen, M., & Abbot, D. S. 2020, *ApJ*, **895**, 19
- Paradise, A., Menou, K., Valencia, D., & Lee, C. 2019, *JGRE*, **124**, 2087
- Park, H. S., & Stewart, A. L. 2016, *TCry*, **10**, 227
- Pierrehumbert, R. T., Abbott, D. S., Voigt, A., & Koll, D. D. B. 2011, *AREPS*, **39**, 417
- Salameh, J., Popp, M., & Marotzke, J. 2018, *CIDy*, **50**, 2395
- Shields, A. L., Barnes, R., Agol, E., et al. 2016, *AsBio*, **16**, 443
- Shields, A. L., Bitz, C. M., Meadows, V. S., Joshi, M., & Robinson, T. D. 2014, *ApJL*, **785**, L9
- Shields, A. L., Meadows, V. S., Bitz, C. M., et al. 2013, *AsBio*, **13**, 715
- Smith, R., & Gent, P. 2004, Reference Manual for the Pallarel Ocean Program (POP), LAUR-02-2484 (NCAR Scientific Description), [www.cesm.ucar.edu/models/ccsm3.0/pop/](http://www.cesm.ucar.edu/models/ccsm3.0/pop/)
- Spiegel, D. S., Raymond, S. N., Dressing, C. D., Scharf, C., & Mitchell, J. L. 2010, *ApJ*, **721**, 1308
- Thorndike, A. S., & Colony, R. 1982, *JGR*, **87**, 5845
- Voigt, A., & Abbot, D. S. 2012, *CliPa*, **8**, 2079
- Wallace, J. M., & Hobbs, P. V. 2006, *Atmospheric Science: An Introductory Survey* (2nd ed.; Cambridge, MA: Academic Press)
- Wang, Y., Liu, Y., Tian, F., Hu, Y., & Huang, Y. 2017, arXiv:1710.01405
- Wang, Y., Tian, F., & Hu, Y. 2014, *ApJ*, **791**, 12
- Warren, B. A. 1983, *JMR*, **41**, 327
- Warren, S. G., & Wiscombe, W. J. 1980, *JATs*, **37**, 2734
- Way, M. J., & Georgakarakos, N. 2017, *ApJL*, **835**, L1
- Williams, D. M., & Pollard, D. 2002, *IAsB*, **1**, 61
- Wiscombe, W. J., & Warren, S. G. 1980, *JATs*, **37**, 2712
- Xie, J. W., Dong, S., Zhu, Z., et al. 2016, *PNAS*, **113**, 11431
- Yang, J., Abbot, D. S., Koll, D. D. B., Hu, Y., & Showman, A. P. 2019, *ApJ*, **871**, 29
- Yang, J., Ji, W., & Zeng, Y. 2020, *NatAs*, **4**, 58
- Yang, J., Liu, Y., Hu, Y., & Abbot, D. S. 2014, *ApJL*, **796**, L22
- Yang, J., Peltier, W. R., & Hu, Y. 2012a, *JCLI*, **25**, 2711
- Yang, J., Peltier, W. R., & Hu, Y. 2012b, *CliPa*, **8**, 907